

DATE: June 07, 2019

CIRCULARS

RBI

• No New Circulars

NSE

- Charge structure for NSCCL-MASS(NMASS) for the financial year 2019-20
- Charge structure for NSCCL-MASS(NMASS) for the financial year 2019-20
- Mock in the Cash Market Segment for interoperability among clearing corporations
- COMMODITY DERIVATIVES SETTLEMENT SCHEDULE FOR JULY 2019
- Mock trading on Saturday, June 08, 2019 and Sunday, June 09, 2019 New version of NEAT 9.40.0 and NEAT+ 7.3.3
- SEBI Single Registration for Stock Brokers
- Adjustment of Futures and Options contracts in the security CHOLAFIN
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Adjustment of Futures and Options contracts in the security Biocon Limited (BIOCON)
- Adjustment of Futures and Options contracts in the security Biocon Limited (BIOCON)
- Proposed Offer for Sale of Cimmco Limited
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)

- List of securities moving to Stage II of Graded Surveillance Measure (GSM)
- List of securities moving to Stage VI of Graded Surveillance Measure (GSM)
- SAT order in respect of Sarthak Vijlani in the matter of Ravi Kumar Distilleries Ltd
- Continuation of current operational procedures NOTIS
- Continuation of current operational procedures
- New version of NCMS available for mock session
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- Modification to Consolidated Circular
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- Modification to Consolidated Circular
- Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act.
- Intimation regarding date of operationalization of interoperability through the Designated Clearing Corporation

BSE

- Mock Trading on Saturday, June 08, 2019 for Currency Derivatives segment
- Mock Trading on Saturday, June 08, 2019 for Equity Derivatives segment
- Mock Trading on Saturday June 08, 2019 for Equity segment
- Applicability of Additional Surveillance Measure
- Order in the matter of Ram Minerals and Chemicals Limited
- Order in the matter of Synthetic and Chemicals Limited
- BSE Technology Programme FY 2019–20
- Information regarding Client funding in cash segment by the Trading Members for the month of May 2019
- Change in Clearing Member affiliation under Interoperability
- Combating Financing of Terrorism (CFT) under Unlawful Activities (Prevention) Act.
- Order in the matter of Nixcil Pharmaceuticals Specialities Limtied (NPSL) (Now Known as "M/s Heivero Pharmaceuticals Limited")
- Participation of Mutual Funds in Commodity Derivatives Market in India.

• SEBI directions w.r.t. listed Shell Companies – Update

MCX

- Commencement of Futures Trading in Gold Mini September 2019 Contract and LTP Based Spread Trading Facility in Gold Mini and Gold Futures Contracts
- Mock Trading
- Empanelment of Additional Warehouse Service Provider for Delivery of Base Metals
- De-accreditation of Warehouse (Mentha Oil)
- Deferment of Silver Micro (1 KGS) Futures Contract for the month of February 2020
- Revision in Standard Deduction in Mentha Oil
- Commencement of Zinc September 2019 Option Contract with Zinc (5 MT) Futures (Compulsory delivery) as underlying

SEBI

- Addendum To Settlement Order in respect of Sharekhan Limited in the matter of Front Running by Manish Chaturvedi and others.
- Order in the matter of Lakshmi Machine Works Ltd.
- Final order in the matter of Synthetic and Chemicals Ltd.
- Order in the matter of Ram Minerals and Chemicals Limited
- Order In the matter of Polo Hotel Ltd.
- Adjudication Order in respect of Shri Manoj Goel in the matter of Trading in Illiquid Stock
 Options
- Order in respect of Mr. Amit H. Tilala in the matter of Esaar (India) Ltd.
- Adjudication Order dated May 31, 2019 in respect of 14 entities in the matter of Kushal Tradelink Ltd.,
- Order in the matter of Ms. ABL Biotechnologies Ltd

- Adjudication Order in respect of MMG Steels Pvt. Ltd.in the matter of Trading in Illiquid Stock Options
- Adjudication Order in respect of Mittal Pigments Pvt. Ltd.in the matter of Trading in Illiquid Stock Options
- Settlement Order in respect of Shri V. Arvindkumar Iyengar in the matter of investigation into insider trading by certain entities in the scrip of Financial Technologies (India) Ltd. (Now known as 63 Moons Technologies Ltd.)
- Order in the matter of JMD Telefilms Industries Limited (presently known as JMD Ventures Limited)
- Adjudication Order dated May 31, 2019 in the matter of Trading Activities of Certain Entities in the Scrip of Tilak Finance Limited (now known as Tilak Ventures Limited)
- Adjudication order in respect of S. Sukumar HUF in the matter of Trading in Illiquid Stock Options on BSE
- Adjudication order in respect of Sanghi Steel Udyog Private Limited in the matter of Trading in Illiquid Stock Options on BSE
- Adjudication order in respect of Roopam Steel Rolling Mills in the matter of Trading in Illiquid Stock Options on BSE
- Adjudication Order dated May 31, 2019 in the matter of Inspection of Books of Destiny Securities Limited
- Adjudication Order dated May 31, 2019 in the matter of Trading Activities of Certain Entities in the Scrip of Dee Kartavya Finance Limited
- Adjudication Order dated May 31, 2019 in the matter of Trading Activities of Certain Entities in the Scrip of Pankaj Piyush Trade and Investments Limited

NSDL

• No New Circulars

NCDEX

• Withdrawal of Additional charges on physical holding

ICEX

• MCCIL- Account opening with WDRA registered Repositories for issuing Exchange specific eNWR

INX

Mock Trading on Saturday 8 June, 2019 Introduction of INX EBOSS Application for Members Participation of Non – Resident Individuals in trading of derivatives contracts and debt instruments available at the India International Exchange (IFSC) Ltd (India INX)

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